

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

control number					
Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	Kia Silverbrook
				Art Unit	
				Examiner Name	
Sheet	1	of	7	Attorney Docket Number	YU182US NP

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JH		US- 20030095726 *	22.05.2003	Silverbrook et al	
		US- 6,264,850 *	07.24.2001	Silverbrook	
		US- 5,719,604 *	02.17.1998	Inui et al	
		US- 5,666,141 *	09.09.1997	Matoba et al	
		US- 4,672,398 *	06.09.1987	Kuwabara et al	
		US- 4,737,802 *	04.12.1988	Mielke	
		US- 5,029,805 *	07.09.1991	Albarda et al	
		US- 5,258,774 *	11.02.1993	Rogers	
		US- 4,553,393 *	11.19.1985	Ruoff	
		US- 4,864,824 *	09.12.1989	Gabriel et al	
		US- 5,867,183 *	Feb. 2, 1999	Cornell et al	
		US- 5,494,698 *	Feb 1996	White et al	
		US- 5,719,602 *	Feb 17, 1998	Hackleman et al	
		US- 6,312,114 *	Nov 6, 2001	Silverbrook	
		US- 4,706,130 *	Nov 10, 1987	Yamakawa	
		US- 4,947,482 *	Aug. 7, 1990	Hawkins et al	
		US- 5,107,276	Apr. 21, 1992	Kneezel et al	
		US- 5,676,475 *	Oct 1997	Dull	
		US- 5,412,410 *	May 2, 1995	Rezanka	
		US- 6,467,870 *	Oct 2002	Matsumoto et al	
		US- 6,068,367 *	May 2000	Fabbri	
		US- 5,752,303 *	May 1998	Thiel	
JH		US- 5,160,945 *	Nov. 3, 1992	Drake	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T th
		Country Code 3 - Number ⁴ - Kind Code ⁵ (if known)				
JH ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ JH		WO 00/55089 *	09.21.2000	Silverbrook Research Pty Ltd		
		JP 404001051 *	01.06.1992	RICOH Co Ltd		
		EP 0-713-774 *	-05.29.1996	Sharp-Kabushiki Kaisha		
		DE.195.16.997 *	11.16.1995	Sharp.K.K		
		DE 196.23.620 *	12.19.1996	Sharp.K.K		
		JP 2-108544 (Abstract) *	04.20.1990	Seiko Epson Corp		
		JP 2-92643 (Abstract) *	04.03.1990	Seiko Epson Corp		
		JP 4-353458 (Abstract) *	12.08.1992	Brother Ind Ltd		
		JP 6-91865 (Abstract) *	-04.05.1994	Seikosha-Co Ltd		
		JP 6-91866 (Abstract) *	04.05.1994	Seikosha.Co Ltd		
		JP 2-265752 (Abstract) *	10.30.1990	Matsushita Electronic Ind	Co Ltd	
		EP 0 634 273 *	01.18.1995	Sharp Kabushiki Kaisha		

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

PLEASE NOTE -

PLEASE NOTE -
* Copies not attached as previously submitted to or cited by the USPTO in parent patent no US 6,416,167 and patent application US 10/120,348

DTOS Rec'd PCT/PTO 05 OCT 2004

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	
				Filing Date	
				First Named Inventor	Kia Silverbrook
				Art Unit	
				Examiner Name	
Sheet	2	of	7	Attorney Docket Number	YU182US NP

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country, Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
JH ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ JH		DE 195 32 913 *	03.28.1996	Sharp K.K		
		JP 58'112747 (Abstract) *	07.05.1983	Fujitsu KK		
		DE 43-28 433 *	-03.02.1995	Heidelberg-Druckmaschinen AG		
		JP. 61.025849 (Abstract) *	02.04.1986	Canon, Inc.		
		JP 01 128839 (Abstract) *	05.22.1989	Ricoh Co Ltd		
		JP 04 126255 (Abstract) *	04.27.1992	Seiko Epson Corp		
		GB 2 262 152 *	08.09.1993	'Willett' Int'l Ltd		
		DE-195-18'997 *	11-18-1995	Sharp K-K		
		JP-05-318724 (Abstract) *	12-03-1993	Seikosha Co Ltd		
		JP 02.162049 (Abstract) *	06.21.1990	Seiko Epson Corp		
		DE 32 45 283 *	06.07.1984	Siemens AG		
		JP 04 368851 (Abstract) *	12.21.1992	Seiko Epson Corp		

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

performance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emporor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

PLEASE NOTE -

PLEASE NOTE -
 * Copies not attached as previously submitted to or cited by the USPTO in parent patent no US 6,416,167 and patent application US 10/120,348

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	
				Filing Date	
				First Named Inventor	Kia Silverbrook
				Art Unit	
				Examiner Name	
Sheet	3	of	7	Attorney Docket Number	YU182US NP

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	-Number ⁴ - Kind Code ⁵ (if known)				
JH ↓<							

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PLEASE NOTE -

* Copies not attached as previously submitted to or cited by the USPTO in parent patent no US 6,416,167 and patent application US 10/120,348

10/510000

DT05 Rec'd PCT/PTO 05 OCT 2004

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	
				Filing Date	
				First Named Inventor Kia Silverbrook	
				Art Unit	
				Examiner Name	
Sheet	4	of	7	Attorney Docket Number YU182US NP	

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
JH		DE 39 34 280 *	04.26.1990	CAE di Cipelletti Alberto		
		DE 29 05 063 *	08.14.1980	Olympia Werke AG		
		WO 94-18010 *	08.18.1994	Domino-Printing-Sciences-PLC		
		EP 0 398 031 *	11.22.1990	Seiko Epson Corp		
		EP 0 427 291 *	05.15.1991	Seiko Epson Corp		
		JP 01 115639 (Abstract) *	05.08.1989	Ricoh Co Ltd		
		JP 58 116165 (Abstract) *	07.11.1983	Canon K.K		
		EP 0 627 314 *	12.07.1994	Olivetti Canon Ind SPA		
		JP 61-268453 (Abstract) *	11.27.1986	Olympus Optical Co Ltd		
		JP 04 118241 (Abstract) *	04.20.1992	Seiko Epson Corp		
		EP 0 506 232 *	09.30.1992	Videojet Systems Int, Inc		
JH		JP 07 314665 (Abstract) *	12.05.1995	Canon Inc		

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
-----------------------	---------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PLEASE NOTE -

* Copies not attached as previously submitted to or cited by the USPTO in parent patent no US 6,416,167 and patent application US 10/120,348

10/510000
 DISC REG PCT-PTR 05 OCT 2006

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	
				Filing Date	
				First Named Inventor	
				Art Unit	
				Examiner Name	
Sheet	5	of	7	Attorney Docket Number YU182US NP	

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials ²	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
JH ↓						

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PLEASE NOTE -

* Copies not attached as previously submitted to or cited by the USPTO in parent patent no US 6,416,167 and patent application US 10/120,348

[illegible]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
Application Number					
Filing Date					
First Named Inventor				Kia Silverbrook	
Art Unit					
Examiner Name					
Attorney Docket Number				YU182US NP	
Sheet	6	of	7		

[illegible][illegible]

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
-----------------------	---------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

performance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

PLEASE NOTE -

* Copies not attached as previously submitted to or cited by the USPTO in parent patent no US 6,416,167 and patent application US 10/120,348

PTO/SB/088 (10-01)
 Approved for use through 10/31/2002. OMB 0651-0031
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Kia Silverbrook
		Group Art Unit	
		Examiner Name	
Sheet 7 of 7	Attorney Docket Number	YU182US NP	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	P ²
JH		NOWOROLSKI, J. MARK et al, "Process for in-plane and out-of-plane single-crystal-silicon thermal microactuators". Sensors and Actuators, A, CH, Elsevier-Sequoia-S-A., Lausanne, Vol-55, no-1, 15-July-1996, pp-65-69, XP004077979 ISSN: 0924-4247.	
JH		ATAKA, MANABU et al, "Fabrication and Operation of Polymide-Bimorph Actuators for Ciliary Motion System". Journal of Microelectromechanical Systems, US, IEEE Inc. New York, Vol. 2, no. 4, 1 December 1993, pp 146-150, XP000443412, ISSN: 1057-7157.	
JH		YAMAGATA, YUTAKA et al, "A Micro Mobile Mechanism Using Thermal Expansion and its Theoretical Analysis". Proceeding of the workshop on micro electro mechanical systems (MEMS), US, New York, IEEE, Vol. Workshop 7, 25 January-1994, pp-142-147, XP000528408, ISBN: 0-7803-1834-X.	

Examiner Signature	/Julian D. Huffman/	Date Considered	12/16/2006
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.